

STATE OF CONNECTICUT DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

CONTRACT AMENDMENT

Contractor:	Yale University
Contractor Address:	25 Science Park, 3 rd Floor, 150 Munson St., New Haven, CT 06511-3572
Contract Number:	16MHA6005
Amendment Number:	5
Amount as Amended:	\$58,156,341
Contract Term as Amended:	July 1, 2015-June 30, 2018

The contract between Yale University (the "Contractor") and the Connecticut Department of Mental Health and Addiction Services (the "Department" or "Agency"), which was last executed by the parties on 9/1/15, and subsequently amended, is hereby amended as follows:

- 1. <u>Maximum Contract Amount</u>: The total maximum amount payable under this contract is increased by \$19,453,234 from \$38,703,107 to \$58,156,341.
- 2. Term: The term of this contract is extended through June 30, 2018.
- 3. <u>Annual Funding Amount</u>: Total funding provided by the Department to the Contractor for State Fiscal Year 2018 services shall not exceed \$19,453,234. The Contractor shall be required to submit a line item budget, in a format approved by the Department, to the Department for review and approval. Such budget shall be submitted no later than August 1, 2016.

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment shall remain in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Contract amendment by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

Contractor

Yale University Contractor Jane 13 2017 Signature Date Donald T. Deyo, Director Name and Title of Authorized Official **Connecticut Department of Mental Health and Addiction Services** - AULA Signature Date Miriam Delphin-Rittmon, Ph.D., Commissioner Name and Title of Authorized Official Connecticut Attorney General approved as to form: JUSION RUBIN ASSCC. ATTY. GENERAL Title Signature

Yale office of the secretary

KIMBERLY M. GOFF-CREWS Secretary and Vice President for Student Life

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courier Woodbridge Hall 105 Wall Street New Haven CT 06511

April 17, 2017

Joseph Rubin Associate Attorney General Office of the Attorney General State of Connecticut 55 Elm Street Hartford, Connecticut 06106

As Secretary of Yale University, I hereby certify that, as of the above date, the following University officials and staff have been authorized to sign contracts (and amendments thereto) between Yale University and the State of Connecticut with the limitations set forth below:

Peter Salovey, President (all contracts);

- Stephen C. Murphy, Vice President for Finance and Chief Financial Officer (all contracts);
- Bruce D. Alexander, Vice President for New Haven and State Affairs and Campus Development (contracts related to ownership and management of real property and University facilities);
- Michael A. Peel, Vice President for Human Resources and Administration (until June 30, 2017, contracts related to human resources, organizational development, labor relations and diversity and other non-academic and administrative work);
- Janet E. Lindner, Deputy Vice President for Human Resources and Administration (contracts up to \$500,000 related to human resources and administrative services, transportation matters, and the student work study program); as of July 1, 2017, Janet E. Lindner will become Vice President or Human Resources and Administration and will be authorized to sign all contracts related to human resources, organizational development, labor relations and diversity and other non-academic and administrative work;

Joseph Rubin Associate Attorney General April 17, 2017

Page 2

Lucy Lucker, University Controller and Chief Accounting Officer (all contracts up to \$5,000,000);

Deborah A. Armitage, Associate Controller (all contracts up to \$500,000);

- Cynthia L. Walker, Deputy Dean for Finance and Administration of the Yale School of Medicine (contracts up to \$500,000 except for: sponsored research contracts, contracts relating to facilities management and operations, contracts relating to intellectual property, technology transfer, and material transfer agreements);
- John A. Mayes, II., Associate Vice President and Chief Procurement Officer (purchase orders, contracts and other agreements up to \$5 million for goods or services purchased by the University);
- Christopher Mihok, Director Purchasing Services (purchase orders, contracts and other agreements up to \$1 million for goods or services purchased by the University);
- Karen Colburn-Murphy, Contract Manager, Procurement Department (purchase orders, contracts and other agreements up to \$250,000 for goods or services purchased by the University);
- Pamela S. Caudill, Institutional Official, Senior Associate Provost for Research (grants and contracts for support of research and related documents);
- Donald Deyo, Director, Corporate Contracts and Export Control Licensing, Office of Sponsored Projects (grants and contracts for support of research, and related documents);
- Cheryl Magoveny, Director, Office of Sponsored Projects (grants and contracts for support of research, and related documents);
- Amy Ellis, Associate Director, Office of Sponsored Projects (grants and contracts for support of research, and related documents);
- Cynthia Kane, Director, Office of Sponsored Projects (grants and contracts for support of research, and related documents);
- Jeffrey E. McGuinness, Associate Director, Office of Sponsored Projects (grants and contracts for support of research up to \$500,000, and related documents);

Joseph Rubin Associate Attorney General April 17, 2017 Page 3

> Lauren Pite, Associate Director, Office of Sponsored Projects (grants and contracts for support of research up to \$500,000, and related documents);

> Tracy Coston, Assistant Director, Office of Sponsored Projects (grants and contracts for support of research up to \$500,000, and related documents);

Brandon G. Romanchok, Assistant Director, Non-Governmental Organizations (NGOs), Office of Sponsored Projects, (grants and contracts for support of research up to \$500,000, and related documents);

Maneesha Joshi, Lead Contract Manager, Office of Sponsored Projects (grants and contracts for support of research up to \$500,000, and related documents);

Sarah Morrill, Award Manager, Office of Sponsored Projects (grants and contracts for support of research up to \$500,000, and related documents);

Nancy Kendrick, Director, Office of Sponsored Projects Financial Management (financial and accounting documents related to contracts for support of research, and related documents);

Kenechia Clarke, Manager, Office of Sponsored Projects Financial Management, Financial Reporting and Analysis (financial and accounting documents related to contracts for support of research, and related documents);

Margaret Conway, Manager, Office of Sponsored Projects Financial Management (financial and accounting documents related to contracts for support of research, and related documents);

Kathleen King, Lead Accountant, Office of Sponsored Projects Financial Management (financial and accounting documents related to contracts for support of research, and related documents);

Melissa Tebo, Lead Accountant, Office of Sponsored Projects Financial Management (financial and accounting documents related to contracts for support of research, and related documents).

The Yale Corporation has authorized the President, the Vice President for Finance and Chief Financial Officer, the Vice President for New Haven and State Affairs and Campus Development, and the Vice President for Human Resources and Joseph Rubin Associate Attorney General April 17, 2017 Page 4

Administration to execute and deliver contracts on behalf of the University, including, contracts with the State of Connecticut and amendments thereto. The authority of the Vice President for New Haven and State Affairs and Campus Development and the Vice President for Human Resources and Administration is limited to the areas of their responsibility as indicated above. The Yale Corporation has also authorized the three Vice Presidents named above to delegate that authority to his or her subordinates (or to delegate such authority to their subordinates together with the authority to subdelegate to certain individuals above). Such delegations and subdelegations of authority and the Yale Corporation approval remain in full force and effect.

I understand the State will rely on this certification for any contracts or amendments signed by any of the above-named officials for a one-year period beginning as of the date first written above unless you receive a written notice from me (or my successor as Secretary of Yale University) notifying you that the authority of one of more of them has lapsed. For any contract signed by one of these officials during that period, Yale University waives any claim that the contract was signed without authority, unless you have received such a notice.

At the end of the one-year period, this certification will be replaced by another certification for the following year. Please sign this letter below and return one original to me to indicate your acceptance of this updated certification on behalf of the State of Connecticut.

Thank you.

Sincerely,

Kimberly M. Goff-Crews Secretary

ACCEPTED: 5/16/17 whin

Associate Attorney General